

South West IPC Programme - Risk register

Description of Risk	Mitigating Actions	Review Date
Strategic Risks		
Difference in priority activities wanted of the Core delivery team (and / or programme resources) by local stakeholders compared to National IPC programme team and/or between different local areas	Regional Programme Board provide oversight	Ongoing quarterly
Dissipation of focus on the programme due to number of other change initiatives	Aligning all appropriate change activity focused on person centred care and support, budget capitation, integration, personal budgets where possible with IPC programme to maintain momentum, focus and efficient use of resource	Ongoing quarterly
Imbalance of representation and engagement within the programme from health, social care and voluntary and community sector	Regular links by Programme lead with chairs of regional bodies such as ADASS, Accountable Officers forum, South West Forum (voluntary and community sector), Health and Wellbeing Boards forum to ensure balanced engagement	Ongoing quarterly
Implementation Risks		
Local areas having a fragmented approach – eg providers wishing to work in this way and start implementing; CCGs and Local Authority commissioners funding	All proposed local implementation sites need to be agreed and monitored via the local Health and Wellbeing Boards and / or other accountable boards. Quarterly updates to go to Programme Board	Quarterly at Regional Programme Board
Voluntary and community sector not funded for market development needs early enough and / or people needing support which is not available from the voluntary and community sector in their area when needed	Grant funding activity undertaken by programme to source pump priming resources for new service set up costs for voluntary and community sector	Quarterly at regional programme board
Lack of workforce availability in key roles – eg Personal Assistants	Development of regional workforce development strategy and synchronisation of implementation and recruitment and training in specific locations	Quarterly at Development and Training Steering group
Lack of capacity in specific CCGs/LAs to cope with rapid growth in demand for PHBs/IPBs from people	Flexible use of Core delivery team to work with local teams to ensure demand can be met	Monthly via Implementation steering group
Financial Model Risks		
Failure to agree new contractual models on a capitated basis	Early engagement with providers to review budget assumptions.	July 2015
Failure to agree risk share agreements	Early engagement with providers. Consider stepped approach to transition between arrangements.	July 2015
Key activity data not made available	Development of data sharing agreements with all partners. Use of proxy data as an interim measure.	June 2015
Information governance issues preventing the analysis of data at an individual, pseudonymised, level	Working with NHS England to identify true system blockages versus perceptions.	May 2015
Failure to contract on a capitated basis from April 2016 due to time required to build evidence base and contract notice periods.	Early engagement with all parties plus work with NHS England to address wider sector issues.	June 2015